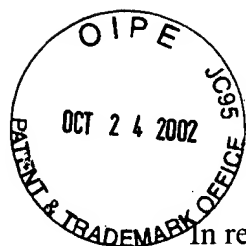


CP/2182  
#8  
BT

01263.001726 (1263.1726)

PATENT APPLICATION 10-29-02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

OCT 25 2002

In re Application of:

Alistair William MCLEAN, et al.

Application No.: 09/986,814

Filed: November 13, 2001

For: FILTER BASED AUTHORIZING TOOL ) October 24, 2002

Examiner: Unassigned Technology Center 2100

Group Art Unit: 2182

Commissioner for Patents  
Washington, D.C. 20231

SECOND INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

FORMAL MATTERS

In accordance with 37 C.F.R. § 1.97(b), since a first Office Action on the merits of the subject application has not yet been issued, neither a statement under 37 C.F.R. § 1.97(e) nor payment of a fee is believed to be required for consideration of this Information Disclosure Statement. However, the Commissioner is hereby authorized to


charge any fee which may be required in connection with this paper to Deposit Account No. 06-1205. A duplicate of this paper is enclosed for that purpose.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that the enclosed Form PTO-1449 be returned with the next official communication indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,



\_\_\_\_\_  
Attorney for Applicants  
Michael E. Kondoudis  
Registration No. 42,758

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200  
MEK:cmv  
DC\_MAIN 111277 v 1

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) <i>(Use several sheets if necessary)</i>			ATTY DOCKET NO. <b>01263.001726</b> <b>(1263.1726)</b>		APPLICATION NO. <b>09/986,814</b>		<b>RECEIVED</b>  <b>OCT 25 2002</b>	
Date submitted to the PTO: <b>October 24, 2002</b>			APPLICANT <b>Alistair William MCLEAN, t al.</b>				Technology Center 2100	
			FILING DATE <b>Nov mb r 13, 2001</b>			GROUP <b>2182</b>		

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	
	"Intermediaries: New Places for Producing and Manipulating Web Content," Barret, et al., Proceedings fo the 7 <sup>th</sup> International World Wide Web Conference, 1998.
	"Enhanced Dynamic Queries via Movable Filters," Fishkin, et al., ACM Conference on Human Factors in Computing Systems, Denver, Colorado, May 1995.
	"Intermediaries: An Approach to Manipulating Information Streams," Barret, et al., IBM Systems Journal, Vol. 38, No. 4 - Persuasive Computing, April, 1999.
	"Behavior Processors: Layers between End-Users and Java Virtual Machines," Repenning, et al., Proceedings of VL'97, Capri, Italy, September 23-26, 1997.
	"Adaptive Color-Image Embeddings for Database Navigation," Rubner, et al., Proceedings of the 1998 IEEE Asian Conference on Computer Vision, Hong Kong, 1998.

EXAMINER	DATE CONSIDERED
----------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.